

CALTRANS  
STATE OF CALIFORNIA  
DEPARTMENT OF TRANSPORTATION  
BID FOR

12

CONSTRUCTION ON STATE HIGHWAY IN  
ORANGE COUNTY IN SAN JUAN CAPISTRANO AND IRVINE AT NORTHBOUND CAMINO LAS  
RAMBLAS LOOP ON RAMP AND NEAR BEE CANYON CREEK  
In District 12 on Route 5

UNDER

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Notice to Bidders and Special Provisions dated September 12, 2016  
Project plans approved June 27, 2016  
Standard Specifications dated 2010  
Standard Plans dated 2010

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Identified by

Contract No. 12-0H5304

12-Ora-5-6.8, 22.8

Project ID 1213000147

FEDERAL-AID PROJECT  
ACIM-005-2(984)E

Bids open Thursday, October 20, 2016

Dated September 12, 2016

AADD

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 12-0H5304 Project ID: 1213000147

Bidder Name:United Engineering & Construction, Inc Bidder ID:VC0000100558

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

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Name of Bidder United Engineering & Construction, Inc

Business Street Address 336 North Central Ave.

(Include even if P.O. Box is used)

Business P.O. Box

City, State, Zip Glendale, CA, 91203

Telephone No: AREA CODE 818-662-8055

Fax No: AREA CODE 818--662-8054

Contractor License No. 903737

Public Works Contractor Registration No. 1000011140

Checksum 86C4E108

1. Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non♦plant establishment work within the number of working days bid for non♦plant establishment work.

2. Bidder has and acknowledges the following addenda:1  
10-14-16 Revise Bid Book, Project Plans, Notice to Bidders and Special Provi
3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Bidder's Bond
4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:
  - 4.1 Criminal prosecution
  - 4.2 Rejection of the bid
  - 4.3 Rescission of the award
  - 4.4 Termination of the Contract

PRINTED NAME:Reza Fard AND

TITLE OF PERSON SIGNING:President

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BY (Authorized signature)

DATE SIGNED( Do not type)

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## STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 12-0H5304 Project ID: 1213000147

Bidder Name:United Engineering &amp; Construction, Inc Bidder ID:VC0000100558

## BID ITEM LIST

| Item No. | Item Code | Item Description                        | Estimated Quantity<br>Unit of Measure | Unit Price | Item Total |
|----------|-----------|---|---------------------------------------|------------|------------|
| 1        | 070030    | LEAD COMPLIANCE PLAN                    | LUMP SUM<br>LS                        | LUMP SUM   | 5,000.00   |
| 2        | 120090    | CONSTRUCTION AREA SIGNS                 | LUMP SUM<br>LS                        | LUMP SUM   | 12,000.00  |
| 3        | 120100    | TRAFFIC CONTROL SYSTEM                  | LUMP SUM<br>LS                        | LUMP SUM   | 25,000.00  |
| 4        | 120165    | CHANNELIZER (SURFACE MOUNTED)           | 33.0<br>EA                            | 50.00      | 1,650.00   |
| 5        | 128652    | PORTABLE CHANGEABLE MESSAGE SIGN (LS)   | LUMP SUM<br>LS                        | LUMP SUM   | 24,000.00  |
| 6        | 129000    | TEMPORARY RAILING (TYPE K)              | 520.0<br>LF                           | 30.00      | 15,600.00  |
| 7        | 031760    | ALTERNATIVE TEMPORARY CRASH CUSHION     | 5.0<br>EA                             | 250.00     | 1,250.00   |
| 8        | 129150    | TEMPORARY TRAFFIC SCREEN                | 120.0<br>LF                           | 5.00       | 600.00     |
| 9        | 130100    | JOB SITE MANAGEMENT                     | LUMP SUM<br>LS                        | LUMP SUM   | 6,000.00   |
| 10       | 130200    | PREPARE WATER POLLUTION CONTROL PROGRAM | LUMP SUM<br>LS                        | LUMP SUM   | 1,000.00   |
| 11       | 130620    | TEMPORARY DRAINAGE INLET PROTECTION     | 10.0<br>EA                            | 100.00     | 1,000.00   |
| 12       | 140003    | ASBESTOS COMPLIANCE PLAN                | LUMP SUM<br>LS                        | LUMP SUM   | 6,000.00   |

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| Item No. | Item Code | Item Description                                       | Estimated Quantity<br>Unit of Measure | Unit Price | Item Total |
|----------|-----------|--|---------------------------------------|------------|------------|
| 13       | 141101    | REMOVE YELLOW PAINTED TRAFFIC STRIPE (HAZARDOUS WASTE) | 2,510.0<br>LF                         | 1.25       | 3,137.50   |
| 14       | 141120    | TREATED WOOD WASTE                                     | 3,170.0<br>LB                         | 4.00       | 12,680.00  |
| 15       | 150661    | REMOVE GUARDRAIL                                       | 250.0<br>LF                           | 10.00      | 2,500.00   |
| 16       | 150711    | REMOVE PAINTED TRAFFIC STRIPE                          | 2,260.0<br>LF                         | 1.00       | 2,260.00   |
| 17       | 150712    | REMOVE PAINTED PAVEMENT MARKING                        | 700.0<br>SQFT                         | 2.00       | 1,400.00   |
| 18       | 150714    | REMOVE THERMOPLASTIC TRAFFIC STRIPE                    | 5,770.0<br>LF                         | 1.00       | 5,770.00   |
| 19       | 150715    | REMOVE THERMOPLASTIC PAVEMENT MARKING                  | 920.0<br>SQFT                         | 1.75       | 1,610.00   |
| 20       | 150722    | REMOVE PAVEMENT MARKER                                 | 330.0<br>EA                           | 1.00       | 330.00     |
| 21       | 150742    | REMOVE ROADSIDE SIGN                                   | 19.0<br>EA                            | 100.00     | 1,900.00   |
| 22       | 150771    | REMOVE ASPHALT CONCRETE DIKE                           | 210.0<br>LF                           | 15.00      | 3,150.00   |
| 23       | 157560    | BRIDGE REMOVAL (PORTION)                               | LUMP SUM<br>LS                        | LUMP SUM   | 80,000.00  |
| 24       | 390132    | HOT MIX ASPHALT (TYPE A)                               | 40.0<br>TON                           | 250.00     | 10,000.00  |

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| Item No. | Item Code | Item Description                                     | Estimated Quantity<br>Unit of Measure | Unit Price | Item Total |
|----------|-----------|--|---------------------------------------|------------|------------|
| 25       | 394074    | PLACE HOT MIX ASPHALT DIKE (TYPE C)                  | 150.0<br>LF                           | 13.30      | 1,995.00   |
| 26       | 480300    | TEMPORARY SUPPORT                                    | LUMP SUM<br>LS                        | LUMP SUM   | 160,000.00 |
| 27(F)    | 510053    | STRUCTURAL CONCRETE, BRIDGE                          | 21.0<br>CY                            | 1,800.00   | 37,800.00  |
| 28(F)    | 510054    | STRUCTURAL CONCRETE, BRIDGE (POLYMER FIBER)          | 107.0<br>CY                           | 1,200.00   | 128,400.00 |
| 29       | 510087    | STRUCTURAL CONCRETE, APPROACH SLAB (TYPE R)          | 88.0<br>CY                            | 950.00     | 83,600.00  |
| 30       | 511106    | DRILL AND BOND DOWEL                                 | 187.0<br>LF                           | 62.00      | 11,594.00  |
| 31       | 519088    | JOINT SEAL (MR 1")                                   | 56.0<br>LF                            | 90.00      | 5,040.00   |
| 32(F)    | 520102    | BAR REINFORCING STEEL (BRIDGE)                       | 40,100.0<br>LB                        | 0.90       | 36,090.00  |
| 33(F)    | 520120    | HEADED BAR REINFORCEMENT                             | 74.0<br>EA                            | 325.00     | 24,050.00  |
| 34       | 560245    | FURNISH LAMINATED PANEL SIGN (1"-TYPE B)             | 56.0<br>SQFT                          | 50.00      | 2,800.00   |
| 35       | 560248    | FURNISH SINGLE SHEET ALUMINUM SIGN (0.063"-UNFRAMED) | 140.0<br>SQFT                         | 50.00      | 7,000.00   |
| 36       | 566011    | ROADSIDE SIGN - ONE POST                             | 17.0<br>EA                            | 240.00     | 4,080.00   |

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| Item No. | Item Code | Item Description  | Estimated Quantity<br>Unit of Measure | Unit Price | Item Total |
|----------|-----------|---|---------------------------------------|------------|------------|
| 37       | 566012    | ROADSIDE SIGN - TWO POST  | 2.0<br>EA                             | 1,000.00   | 2,000.00   |
| 38       | 031761    | FURNISH SINGLE SHEET ALUMINUM SIGN (0.063" - UNFRAMED) FOR RETROREFLECTIVE SHEETING (TYPE XI) | 140.0<br>SQFT                         | 12.00      | 1,680.00   |
| 39       | 031762    | RETROREFLECTIVE SHEETING (TYPE XI)  | 180.0<br>SQFT                         | 6.00       | 1,080.00   |
| 40       | 820107    | DELINEATOR (CLASS 1)  | 52.0<br>EA                            | 45.00      | 2,340.00   |
| 41       | 820134    | OBJECT MARKER (TYPE P)  | 4.0<br>EA                             | 50.00      | 200.00     |
| 42       | 832007    | MIDWEST GUARDRAIL SYSTEM (WOOD POST)  | 160.0<br>LF                           | 42.00      | 6,720.00   |
| 43       | 839543    | TRANSITION RAILING (TYPE WB-31)   | 3.0<br>EA                             | 4,000.00   | 12,000.00  |
| 44       | 839585    | ALTERNATIVE FLARED TERMINAL SYSTEM  | 3.0<br>EA                             | 3,000.00   | 9,000.00   |
| 45(F)    | 839717    | CONCRETE BARRIER (TYPE 732 MODIFIED)  | 117.0<br>LF                           | 180.00     | 21,060.00  |
| 46(F)    | 839720    | CONCRETE BARRIER (TYPE 732)   | 326.0<br>LF                           | 125.00     | 40,750.00  |
| 47       | 840656    | PAINT TRAFFIC STRIPE (2-COAT)   | 2,260.0<br>LF                         | 1.25       | 2,825.00   |

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| Item No.  | Item Code | Item Description  | Estimated Quantity<br>Unit of Measure | Unit Price | Item Total   |
|-----------|-----------|---|---------------------------------------|------------|--------------|
| 48        | 840666    | PAINT PAVEMENT MARKING (2-COAT)   | 700.0<br>SQFT                         | 2.00       | 1,400.00     |
| 49        | 846001    | 4" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY)               | 4,330.0<br>LF                         | 1.00       | 4,330.00     |
| 50        | 846004    | 4" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY) (BROKEN 17-7) | 2,840.0<br>LF                         | 1.00       | 2,840.00     |
| 51        | 846009    | 8" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY)               | 1,110.0<br>LF                         | 1.50       | 1,665.00     |
| 52        | 846012    | THERMOPLASTIC CROSSWALK AND PAVEMENT MARKING (ENHANCED WET NIGHT VISIBILITY)  | 920.0<br>SQFT                         | 6.00       | 5,520.00     |
| 53        | 850111    | PAVEMENT MARKER (RETROREFLECTIVE)   | 330.0<br>EA                           | 3.25       | 1,072.50     |
| 54        | BLANK     |   | LUMP SUM<br>LS                        | LUMP SUM   | 0.00         |
| 55        | 190101    | ROADWAY EXCAVATION  | 110.0<br>CY                           | 150.00     | 16,500.00    |
| 56        | 394073    | PLACE HOT MIXED ASPHALT DIKE (TYPE A)   | 200.0<br>LF                           | 50.00      | 10,000.00    |
| 57        | 999990    | MOBILIZATION  | LUMP SUM<br>LS                        | LUMP SUM   | 125,000.00   |
| Total Bid |           |   |                                       |            | \$994,269.00 |



Bidding Firm: United Engineering & Construction, Inc

List this sub? Yes

- 1) Business Name American Egale Contractors Inc.  
Location City Yourba Linda State CA  
California Contractor License Number 764663  
Public Works Contractor Registration Number 1000031218  
Portion of Work Subcontracted:

| Item | %  | Description                                 |
|------|----|---|
| 29   | 45 | STRUCTURAL CONCRETE, APPROACH SLAB (Type R) |

List this sub? Yes

- 2) Business Name Ace Fence Company  
Location City La Puente State CA  
California Contractor License Number 996577  
Public Works Contractor Registration Number 1000004092  
Portion of Work Subcontracted:

| Item | %   | Description                          |
|------|-----|--------------------------------------|
| 14   | 100 | TREATED WOOD WASTE                   |
| 15   | 100 | REMOVE GUARDRAIL                     |
| 42   | 100 | MIDWEST GUARDRAIL SYSTEM (WOOD POST) |
| 43   | 100 | TRANSITION RAILING (TYPE WB-31)      |
| 44   | 100 | ALTERNATIVE FLARED TERMINAL SYSTEM   |

List this sub? Yes

- 3) Business Name Empire Steel Inc  
Location City San Bernardino State CA  
California Contractor License Number 941239  
Public Works Contractor Registration Number 100012896  
Portion of Work Subcontracted:

| Item | %   | Description                                 |
|------|-----|---|
| 29   | 26  | STRUCTURAL CONCRETE, APPROACH SLAB (TYPE R) |
| 32   | 100 | BAR REINFORCING STEEL (BRIDGE)              |
| 33   | 100 | HEADED BAR REINFORCEMENT                    |
| 45   | 18  | CONCRETE BARRIER (TYPE 732 MODIFIED)        |
| 46   | 21  | CONCRETE BARRIER (TYPE 732)                 |

Bidding Firm: United Engineering & Construction, Inc

List this sub? Yes

- 4) Business Name ACL  
Location City Ontario State CA  
California Contractor License Number A-468840  
Public Works Contractor Registration Number 1000008098  
Portion of Work Subcontracted:

| Item | %  | Description                          |
|------|----|--------------------------------------|
| 45   | 72 | CONCRETE BARRIER (TYPE 732 MODIFIED) |
| 46   | 34 | CONCRETE BARRIER (TYPE 732)          |

List this sub? Yes

- 5) Business Name B C Traffic Specialist  
Location City Orange State CA  
California Contractor License Number 877686  
Public Works Contractor Registration Number 1000005503  
Portion of Work Subcontracted:

| Item | %   | Description  |
|------|-----|--|
| 1    | 100 | LEAD COMPLIANCE PLAN                                   |
| 3    | 100 | TRAFFIC CONTROL SYSTEM                                 |
| 13   | 100 | REMOVE YELLOW PAINTED TRAFFIC STRIPE (HAZARDOUS WASTE) |
| 16   | 100 | REMOVE PAINTED TRAFFIC STRIPE                          |
| 17   | 100 | REMOVE PAINTED PAVEMENT MARKING                        |

List this sub? Yes

- 6) Business Name B C Traffic Specialist  
Location City Orange State CA  
California Contractor License Number 877686  
Public Works Contractor Registration Number 1000005503  
Portion of Work Subcontracted:

| Item | %   | Description                           |
|------|-----|---------------------------------------|
| 18   | 100 | REMOVE THERMOPLASTIC TRAFFIC STRIPE   |
| 19   | 100 | REMOVE THERMOPLASTIC PAVEMENT MARKING |
| 20   | 100 | REMOVE PAVEMENT MARKER                |
| 47   | 100 | PAINT TRAFFIC STRIPE (2-COAT)         |
| 48   | 100 | PAINT PAVEMENT MARKING (2-COAT)       |

Bidding Firm: United Engineering & Construction, Inc

List this sub? Yes

- 7) Business Name B C Traffic Specialist  
Location City Orange State CA  
California Contractor License Number 877686  
Public Works Contractor Registration Number 1000005503  
Portion of Work Subcontracted:

| Item | %   | Description  |
|------|-----|--|
| 49   | 100 | 4" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY)      |
| 50   | 100 | 4" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY) (BRO |
| 51   | 100 | 8" THERMOPLASTIC TRAFFIC STRIPE (ENHANCED WET NIGHT VISIBILITY)      |
| 52   | 100 | THERMOPLASTIC CROSSWALK AND PAVEMENT MARKING (ENHANCED WET NIGHT VIS |
| 53   | 100 | PAVEMENT MARKER (RETROREFLECTIVE)                                    |

List this sub? Yes

- 8) Business Name Maneri Traffic Control Inc  
Location City Temecula State CA  
California Contractor License Number 1017741  
Public Works Contractor Registration Number 1000043135  
Portion of Work Subcontracted:

| Item | %  | Description                           |
|------|----|---------------------------------------|
| 5    | 90 | PORTABLE CHANGEABLE MESSAGE SIGN (LS) |

List this sub? Yes

- 9) Business Name G&F  
Location City Santa Ana State CA  
California Contractor License Number 590310  
Public Works Contractor Registration Number 1000001776  
Portion of Work Subcontracted:

| Item | %  | Description              |
|------|----|--------------------------|
| 23   | 10 | BRIDGE REMOVAL (PORTION) |
| 30   | 10 | DRILL AND BOND DOWEL     |



STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 12-0H5304 Project ID: 1213000147

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OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A(REV. 8/2012)

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To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date: Bidder's Name:

Signature: \_\_\_\_\_

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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Bidder Name:United Engineering & Construction, Inc Bidder ID:VC0000100558

SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

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Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: Yes Certification number? :1723600

Note: This small business questionnaire is included for statistical reporting only.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION

Bidder certifies, to the best of his or her knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

## STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
(b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name title, and telephone number.



STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

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Bidder Name: United Engineering & Construction, Inc Bidder ID: VC0000100558

DISCLOSURE OF LOBBYING ACTIVITIES

Approved by OMB 0348-0046

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352  
No

1. Type of Federal Action:

2. Status of Federal Action:

3. Report Type:

For Material Change Only:

Year

Quarter

Date of last report

4. Name and Address of Reporting Entity:

Tier ,if known

Congressional District, if known:

5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime:

Congressional District, if known:

6. Federal Department/Agency:

7. Federal Program Name/Description:

CFDA Number, if applicable

8. Federal Action Number, if known:

9. Award amount, if known (\$):

10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI):

b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI):

11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature: \_\_\_\_\_

Print Name:

Title:

Telephone No.:

DATE:

Federal Use Only:

Authorized for Local Reproduction  
Standard Form - LLL(Rev. 7-97)

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 12-0H5304 Project ID: 1213000147

Bidder Name:United Engineering & Construction, Inc Bidder ID:VC0000100558

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder United Engineering & Construction Inc., proposed subcontractor , certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b) (1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 12-0H5304 Project ID: 1213000147

Bidder Name: United Engineering & Construction, Inc Bidder ID: VC0000100558

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND  
SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

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UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code ♦ 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID

Under PCC 7106 and 23 USC 112, the bidder declares as follows:

State of CA County of Los Angeles

Name Reza Fard,

being first duly sworn, deposes and says that he or she is

President of

United Engineering & Construction Inc.

the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

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CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

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NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code ♦ 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

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ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

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PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD  
RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

1. Within the past 10 years, has the Bidder been found to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?

No

2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsive bidder by a public entity, including federal, State, local or regional entities?

No

3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsive bidder by any public entity, including federal, State, local, or regional entities?

No

4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:

4.1. Date of each nonresponsibility determination

4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination

4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

Bond No. \_\_\_\_\_

We \_\_\_\_\_

\_\_\_\_\_ as Principal, and

\_\_\_\_\_ as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:

WHEREAS, the Principal is submitting a bid to the Obligee, for  
CONSTRUCTION ON STATE HIGHWAY IN  
ORANGE COUNTY IN SAN JUAN CAPISTRANO AND IRVINE AT NORTHBOUND CAMINO LAS RAMBLA  
In District 12 on Route 5  
for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on  
10-20-16.

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: \_\_\_\_\_, 20\_\_\_\_.

Principal: \_\_\_\_\_

Surety: \_\_\_\_\_

Address of Surety: \_\_\_\_\_

(for correspondence or claims)

By \_\_\_\_\_

Attorney-in-Fact



CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me, \_\_\_\_\_

Date

Here insert Name and Title of the Officer

personally appeared \_\_\_\_\_

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal

SIGNATURE \_\_\_\_\_

Signature of Notary Public

(SEAL)

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION

**BIDDER'S BOND**

DES-OE-0102.3 (REV 3/2008)

Contract No. 12-0P5304Bond No. N/AWe United Engineering Construction, Inc.336 N. Central Ave., #10A, Glendale, CA 91203

as Principal, and

The Gray Insurance Company

as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally,

**THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:**

WHEREAS, the Principal is submitting a bid to the Obligee, for Bridge Rail and Deck Upgrade  
*(Copy here the exact description of work, including location, as it appears on the proposal)*

for which bids are to be opened at City of Sacramento*(Insert place where bids will be opened)*

on \_\_\_\_\_

*(Insert date of bid opening)*

NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.

In the event a suit is brought upon this bond by the Obligee and judgment is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

Dated: October 7, 20 16

Correspondence or claims relating to this bond should be sent to the surety at the following address: The Gray Insurance Company

P.O. Box 6202Metairie, LA 70009-6202United Engineering & Construction, Inc.*Principal*The Gray Insurance Company*Surety*By Heather Burroughs*Attorney-in-Fact*Heather Burroughs**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

State of California

County of \_\_\_\_\_

On this \_\_\_\_\_ before me,

*Date**Here Insert Name and Title of the Officer*

personally appeared \_\_\_\_\_

*Name(s) of Signer(s)***\*\*SEE ATTACHED**

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

(SEAL)

Signature \_\_\_\_\_

*Signature of Notary Public***ADA Notice**

For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

**CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT**

CIVIL CODE § 1189

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of North Carolina )County of Durham )On October 7, 2016

before me,

Jason Lee Sayers

Date

Here Insert Name and Title of the Officer

personally appeared Heather Burroughs

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

**JASON LEE SAYERS**  
**NOTARY PUBLIC**  
**WAKE COUNTY, N.C.**  
My Commission Expires 6-24-2020.

Signature

Signature of Notary Public

Place Notary Seal Above

**OPTIONAL**

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

**Description of Attached Document**

Title or Type of Document: \_\_\_\_\_ Document Date: \_\_\_\_\_

Number of Pages: \_\_\_\_\_ Signer(s) Other Than Named Above: \_\_\_\_\_

**Capacity(ies) Claimed by Signer(s)**

Signer's Name: \_\_\_\_\_

☐ Corporate Officer — Title(s): \_\_\_\_\_☐ Partner — ☐ Limited ☐ General☐ Individual ☐ Attorney in Fact☐ Trustee ☐ Guardian or Conservator☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

Signer's Name: \_\_\_\_\_

☐ Corporate Officer — Title(s): \_\_\_\_\_☐ Partner — ☐ Limited ☐ General☐ Individual ☐ Attorney in Fact☐ Trustee ☐ Guardian or Conservator☐ Other: \_\_\_\_\_

Signer Is Representing: \_\_\_\_\_

THE GRAY INSURANCE COMPANY  
THE GRAY CASUALTY & SURETY COMPANY

202589

GENERAL POWER OF ATTORNEY

KNOW ALL BY THESE PRESENTS, THAT The Gray Insurance Company and The Gray Casualty & Surety Company, corporations duly organized and existing under the laws of Louisiana, and having their principal offices in Metairie, Louisiana, do hereby make, constitute, and appoint **Heather Burroughs, Julia C. McElligott, Bobbi D. Pendleton, Kenneth J. Peeples, Christopher A. Lydick, Phoebe C. Honeycutt, Jason Lee Sayers and Adam Pfannmiller of Durham, North Carolina jointly or severally** on behalf of each of the Companies named above its true and lawful Attorney(s)-in-Fact, to make, execute, seal and deliver, for and on its behalf and as its deed, bonds, or other writings obligatory in the nature of a bond, as surety, contracts of suretyship as are or may be required or permitted by law, regulation, contract or otherwise, provided that no bond or undertaking or contract of suretyship executed under this authority shall exceed the amount of \$10,000,000.00

This Power of Attorney is granted and is signed by facsimile under and by the authority of the following Resolutions adopted by the Boards of Directors of both The Gray Insurance Company and The Gray Casualty & Surety Company at meetings duly called and held on the 26<sup>th</sup> day of June, 2003.

"RESOLV ED, that the President, Executive Vice President, any Vice President, or the Secretary be and each or any of them hereby is authorized to execute a power of Attorney qualifying the attorney named in the given Power of Attorney to execute on behalf of the Company bonds, undertakings, and all contracts of surety, and that each or any of them is hereby authorized to attest to the execution of such Power of Attorney, and to attach the seal of the Company; and it is

FURTHER RESOLVED, that the signature of such officers and the seal of the Company may be affixed to any such Power of Attorney or to any certificate relating thereto by facsimile, and any such Power of Attorney or certificate bearing such facsimile signature or facsimile seal shall be binding upon the Company now and in the future when so affixed with regard to any bond, undertaking or contract of surety to which it is attached.

IN WITNESS WHEREOF, The Gray Insurance Company and The Gray Casualty & Surety Company have caused their official seals to be hereinto affixed, and these presents to be signed by their authorized officers this 12<sup>th</sup> day of September, 2011.



By:

*Michael T. Gray*

Michael T. Gray  
President, The Gray Insurance Company  
and  
Vice President,  
The Gray Casualty & Surety Company

Attest:

*Mark S. Manguno*

Mark S. Manguno  
Secretary,  
The Gray Insurance Company,  
The Gray Casualty & Surety Company



State of Louisiana

ss:

Parish of Jefferson

On this 12<sup>th</sup> day of September, 2011, before me, a Notary Public, personally appeared Michael T. Gray, President of The Gray Insurance Company and Vice President of The Gray Casualty & Surety Company, and Mark S. Manguno, Secretary of The Gray Insurance Company and The Gray Casualty & Surety Company, personally known to me, being duly sworn, acknowledged that they signed the above Power of Attorney and affixed the seals of the companies as officers of, and acknowledged said instrument to be the voluntary act and deed, of their companies.



*Lisa S. Millar*

Lisa S. Millar, Notary Public, Parish of Orleans  
State of Louisiana  
My Commission is for Life

I, Mark S. Manguno, Secretary of The Gray Insurance Company and The Gray Casualty & Surety Company, do hereby certify that the above and forgoing is a true and correct copy of a Power of Attorney given by the companies, which is still in full force and effect.

IN WITNESS WHEREOF, I have set my hand and affixed the seals of the Companies this

7 day of October 2016



*Mark S. Manguno*

Mark S. Manguno, Secretary  
The Gray Insurance Company  
The Gray Casualty & Surety Company